



1-3-02

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Express Mail No.: EV073181706US

PATENT APPLICATION

Attorney Docket No.: 069078.0110First Named Inventor: James M. Florence  
(Or Application Identifier)TRANSMITTAL FOR U.S. PATENT APPLICATION  
UNDER 37 C.F.R. § 1.53(b)JC621 U.S. PRO  
10/05/2026  
01/18/02  
Box Patent Application  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Enclosed for filing is a patent application entitled:  
METHOD AND APPARATUS FOR ADDING OR DROPPING A COMPONENT OF AN  
OPTICAL SIGNAL.

The enclosed application is:

 Original  
 Continuation  Divisional  Continuation-In-Part  
(CIP) of prior application No. \_\_\_\_\_.

Enclosed are:

- Specification, Claims and Abstract (30 Total Pages)  
 Drawings (5 Total Sheets of  Formal  Informal)  
 Combined Declaration/Power of Attorney (3 Total Pages)  
 Newly executed (original or copy)  
 Copy from a prior application  
(for continuation or divisional only)  
 Incorporation By Reference - The entire  
disclosure of the prior application, from which  
the copy of the Declaration is supplied, is  
considered as being part of the disclosure of  
the accompanying application and is hereby  
incorporated by reference herein.  
 This application is being filed by fewer than  
all of the inventors named in the prior  
application, under 37 CFR §1.53(d)(4). Please  
DELETE the following inventor(s) named in the  
prior nonprovisional application:  
  
 Assignment, with a separate cover sheet in compliance  
with 37 C.F.R. § 3.28 and § 3.31, and an Assignment  
recording fee of \$ 40.00 pursuant to 37 C.F.R. §1.21(h).

Information Disclosure Statement (IDS), and Form PTO-1449  
 Copies of documents listed on Form PTO-1449.

Preliminary Amendment  
 Please enter before calculating the filing fee.

Priority is claimed under 35 USC §119 based on:

A certified copy of the priority application is enclosed.

Letter Requesting Non-Publication

Return Receipt Postcard

The enclosed application is in the form of a foreign language text:

An English translation is enclosed, along with a statement that the translation is accurate.  
 Please notify the undersigned of the due date for submitting an English translation.  
 An enclosed check includes the fee of \$ \_\_\_\_ for processing a foreign language text.

Applicant is a  large  small entity.

Small Entity Statement enclosed.

Small Entity Statement filed in prior application.

Status still proper and desired.

FEE CALCULATION (LARGE ENTITY RATE)					FEE
	Number		Number Extra	Rate	Basic Fee
					\$740.00
Total Claims:	25	-20 =	5	X \$18 =	\$ 90.00
Independent Claims	2	- 3 =	0	X \$84 =	\$0.00
TOTAL FILING FEE =					\$830.00

Enclosed are checks in the amount of \$ 830.00 for the filing fee, and in the amount of \$ 40.00 for the recording fee.

Please credit any overpayment, or charge any additional fees required by this paper, to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P. A duplicate copy of this sheet is enclosed.

— This application is being filed without the Declaration and filing fee. Please advise the undersigned of the due date for submitting the Declaration and/or filing fee.

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicant



T. Murray Smith  
Reg. No. 30,222  
January 18, 2002

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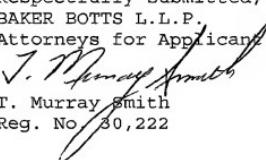
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: James M. Florence  
Serial No.: Unknown  
Filed: January 18, 2002  
Group No.: Unknown  
Examiner: Unknown  
Title: METHOD AND APPARATUS FOR ADDING  
OR DROPPING A COMPONENT OF AN  
OPTICAL SIGNAL

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

LETTER REQUESTING NON-PUBLICATION

Pursuant to 37 C.F.R. § 1.213(a), Applicant respectfully requests that the above-identified patent application not be published under 37 U.S.C. §122(b). Applicant hereby certifies that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, which requires publication at eighteen months after filing.

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicant  
  
T. Murray Smith  
Reg. No. 30,222

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Date: January 18, 2002

Encls: None

DAL01:652026.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Box Patent Application  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that the enclosed documents (listed below) are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on this 18th day of January, 2002, addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

*Willie Tiley*

Encl: Application Transmittal Form  
Letter Requesting Non-Publication  
Specification, Claims and Abstract (30 pages)  
Formal Drawings (5 Sheets)  
Declaration (3 pages)  
Assignment and Cover Sheet (3 pages)  
Information Disclosure Statement, with Form  
PTO-1449 and one copy of listed item  
Checks (\$830.00 and \$40.00)  
Acknowledgment Post Card

Express Mail Receipt  
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Attorney's Docket:  
069078.0110